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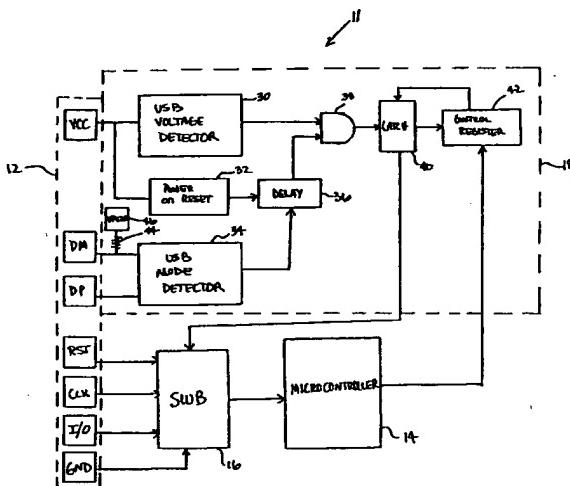
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(54) Title: DUAL MODE SMART CARD AND ASSOCIATED METHODS



(57) Abstract: A dual-mode IC is provided for operating in first mode such as an ISO mode in accordance with International Standards Organization 7816 (ISO 7816) protocol, and a second, non-ISO mode, such as a USB mode in accordance with Universal Serial Bus (USB) protocol. The dual-mode IC is preferably in a smart card and includes a microprocessor, a switching block, and an external interface. The external interface comprises a voltage supply pad, a reference voltage pad, a reset pad, a clock pad and an input/output pad in accordance with the ISO 7816 protocol, and a D-plus pad and D-minus pad in accordance with the USB protocol. The IC further includes a mode configuration circuit for detecting a USB mode condition on at least one of the D-plus and D-minus pads, and configuring the IC in the ISO mode or the USB mode depending on the result. Once the IC is configured in a particular mode, it will operate in only that mode until the next power-on reset sequence.

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

DUAL MODE SMART CARD AND ASSOCIATED METHODS**Field of the Invention**

The present invention relates to information processing and storage, and more particularly, to smart card systems using various protocols.

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Background of the Invention

Smart cards SC are plastic cards having an embedded Integrated Circuit (IC). That IC may be a logic circuit with its associated memories or a microcontroller with its associated memories and software, or a microcontroller with its associated memories and software coupled to a custom block.

To use the computing power given by the IC, a SC makes use of a full set of packaging technologies. The die size varies from 1mm² to 30 mm². The die size is limited for mechanical aspects going with the plastic nature of the SC. The IC is attached to a lead frame and wire-bonding techniques are used to connect the IC pads to the lead frame contacts. Potting and other strengthening methods protect the IC against chemical and mechanical stresses. Contacts are located on one side of the card and their number is limited to eight. Ultimately SC performs transactions with a SC reader using a serial

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protocol. All the mechanical and electrical specifications of SC are published by the International Standard Organization (ISO). The ISO7816-X standards have allowed the simple and
5 massively produced magnetic stripe cards to evolve toward the SC. SC, depending of the IC complexity, may perform pre-paid accounting, cryptographic scheme, personal authentication using PIN code or biometrics and run java scripts to name few.

10 IS documents IS 7816-1 Physical Characteristics, IS 7816-2 Dimensions and Locations of the contacts, IS 7816-3 Electronic signals and transmission protocols and, IS 7816-10 Electronic signals and answer to reset for synchronous cards are
15 incorporated herein by reference.

Today all the SC readers have to be recognized by the infrastructure prior to perform any transaction involving a SC. The infrastructure is running an application in which the SC end is
20 involved. The SC reader expects a SC. The half duplex protocol between the SC and the SC reader, in which, either the SC sends information to the SC reader or vice versa, cannot start until a SC is detected in place into the SC reader. The
25 infrastructure manages authentication or transactions for pre-paid cards in public telephony, for Bank cards in Point Of Sale (POS) terminals and Automatic Teller Machines (ATM), for Pay TV providers in set top boxes and for wireless telecom operators in
30 Subscriber Identification Modules (SIM) in Global System for Mobile (GSM) terminals. Except for SIM cards, all others applications use a physical sensor to detect the SC. This sensor tells the SC reader when a SC is in place that is when the SC lead frame
35 contacts are able to mate with the SC reader

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contacts. Two sorts of SC reader contacts can be used, contacts that remain in place and because of their elasticity can slide over the SC when inserted in the SC reader or mobile contacts which descend to touch the lead frame contacts once the card has been detected in place. When the SC reader has established that a SC is in place the power up sequence can start at the SC reader convenience. After the power up sequence, the SC reader will provide first a clock to the SC and then will release its reset signal. The SC is then able to execute the stored Operating System (OS). The SIM card is particular since it is put in place only once with the power off and used constantly.

The first application ever to have deployed the SC technology more than 20 years ago is the public telephone system. The die size used in this application is less than 1mm². Just memories and logic circuits are integrated in the IC. In 1999, Pre-paid SC accounted for more than 2/3 of the millions SC produced worldwide. The SC reader utilizes all eight contacts to interface properly with the different SC generations. When a SC is inserted in the payphone, the telephone infrastructure authenticates the SC and the telephone remove units out of the SC. It is worth noting that the SC developed for Banking applications can be utilized in a payphone. The payphone does not remove units out of a Bank card but bills the SC carrier.

The second largest application using the SC has been deployed by the Banking industry. The ATM and POS infrastructures have been installed in most countries other than the USA. The die size used in this application is about 10mm². A microcontroller and its associated memories and software are

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integrated in the IC. The SC reader utilizes up to six contacts to interface properly with the different SC generations. When a SC is inserted in the ATM or the POS, the SC carrier is asked to authenticate
5 himself with a PIN code. The SC can store anything like the balance of cash the owner got out of an ATM on a per week basis, the details of purchases he has done since the last closing date, etc.. Based on this information, the authorization can be issued on
10 the spot once the PIN has authenticated the debtor without any telephone calls to the bank. Ultimately Banks and Businesses perform the equalization using the telephone, private communication networks and some day the Internet. While performing the
15 equalization, a black list of fraudulent SC may be stored in the POS or ATM. This scheme has been able to reduce the fraud level down to 0.02% of all the transactions equivalent money done with the SC from 0.2% when no IC was embedded in the card. The level
20 of fraud using SC has been reduced by ten fold compared to the regular credit cards.

The third largest application using SCs has been deployed by GSM manufacturer. The die size used in a SIM is about 30mm². A microcontroller and its
25 associated memories and software are integrated in the IC. The SIM reader utilizes five contacts to interface properly with the SC. The most sophisticated SC applications are performed in GSM using Java applets.

30 A whole new market for the SC is now emerging with the Internet accessed from a Personnel Computer. Secure message, Public Key Infrastructure, Authentication and Electronic Payment are the new SC hot areas. The SC can be an e-commerce facilitator.
35 The differentiation of the smartcard compared to

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other solutions is to have the PIN in the memory that is never communicated in any transaction.

Up to now, the SC is used in a SC reader connected to the computer. Two protocols are involved in supporting transactions between the SC and the application run by the computer. The first protocol complies with the IS-7816-3. This standard provides detailed requirements for the serial interface between SC and SC reader. The reader is connected to the computer by via a Serial Port, a Parallel Port or even the Universal Serial Bus (USB) using a second protocol. The SC reader contains electronic circuits and embedded software that enable communication between the SC using the first protocol and the computer using the second protocol. The computer is loaded with the appropriate driver to support the SC reader. Many countries have started to use the SC in the PC environment. The die size used in this application will be anywhere from 5mm² to 30mm². A microcontroller and its associated memories and software are integrated in the IC with a cryptocontroller. Sometimes, a bio-sensor will be also integrated. The SC reader utilizes at least five contacts to interface properly with the SC.

Closed infrastructures enabling all kinds of transactions like Healthcare, Public phone, parking, Loyalty programs, Cash payments, Credit payments are using millions of IS compliant SC readers around the world. Europe has lead the development of these technologies back in the late 1970's. In these proprietary infrastructures, every single SC reader is designed to carry many transactions each hour. The many users share the cost of the SC reader.

The extreme growths of the e-commerce and Internet transactions have highlighted the huge needs

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to secure transactions. Fraud is booming. False credit card numbers are used, credit card numbers are stolen and eavesdropping on the Internet is well established. Dotcom companies search for the device 5 having the best cost/performance ratio. The SC is an excellent contender if the SC reader price can be reduced.

The USB has recently become firmly established and has gained wide acceptance in the Personal 10 Computer (PC) marketplace. The USB has been developed in response to a need for a standard interface that extends the concept of "plug and play" to devices external to a PC. It has enabled users to install and remove external peripheral devices without having 15 to open the PC case or to remove power from the PC. The USB provides a low-cost, high performances, half-duplex serial interface that is easy to use and readily expandable. The USB can be seen as a set of four wires carrying the supply power with two wires 20 and data with the two other wires. The USB is currently defined by the Universal Serial Bus Specification written and controlled by USB Implementers Forum, Inc., a non-profit corporation founded by the group of companies that developed the 25 USB Specification.

In particular, Chapter 5 USB Data Flow Model, Chapter 7 Electrical, Chapter 8 Protocol Layer and Chapter 9 USB Device Framework of Universal Serial Bus Specification are incorporated herein by 30 reference. The increasingly widespread use of the USB in computers has led SC reader manufacturers to develop USB interfaces for connection of their products to computers to complement the existing serial and parallel interfaces.

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We are now in a situation where the brick and mortar companies and the Banks have been using the SC technology for more than 25 years. On the other hand, the Internet, a formidable arena to enhance commerce and Banking activities, does not use the SC technology. Most of the Internet transactions are done from a PC and despite PC manufacturer efforts, the PC industry has failed to install on each PC a cost effective SC reader meeting the specific needs of web related applications. A comprehensive solution, servicing the needs of both the one already engaged in the SC technologies and those wishing to benefit from it, is to be found. These two fields should share a common authentication platform in the best interests of the customers and the service providers.

An example of a conventional approach may be found in published PCT application WO 99/49415 and entitled "Versatile Interface Smart Card." The system discloses a smart card system which can be used with different protocols. Specifically, the system provides a mode signal at one of the non-ISO standard contacts to indicate the protocol of the device that the card is communicating with. However, the mode signal is not checked until after the smart card is powered up and the reset signal has been applied. In other words, the smart card is already operating in the ISO 7816 protocol, and upon detection of the mode signal, may have to switch to a non-ISO protocol.

Summary of the Invention

In view of the foregoing background, it is therefore an object of the invention to provide an

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integrated circuit which can operate according to more than one protocol.

It is a further object of the invention to provide a smart card system that can determine 5 whether the smart card is communicating with a device using the ISO 7816 protocol or the USB protocol, and configure the smart card in such a protocol.

This and other objects, features and advantages in accordance with a first embodiment of the present 10 invention are provided by a dual-mode IC for operating in first mode such as an ISO mode in accordance with International Standards Organization 7816 (ISO 7816) protocol, and a USB mode in accordance with Universal Serial Bus (USB) protocol.

15 The dual-mode IC is preferably in a smart card and includes a microprocessor, a switching block, and an external interface. The external interface comprises a voltage supply pad, a ground or reference voltage pad, a first set of pads for the first mode, and a second set of pads for the USB mode. The first set 20 of pads preferably include a reset pad, a clock pad and an input/output pad in accordance with the ISO 7816 protocol, and the second set of pads preferably includes a D-plus pad and a D-minus pad in accordance 25 with the USB protocol. The IC further includes a mode configuration circuit comprising a USB mode detector connected to at least one of the D-plus and D-minus pads. A pull-up resistor may be connected to one of the D-plus and D-minus pads, and a USB voltage 30 detector may be connected to the voltage supply pad. A latching circuit is connected between the switching block and the USB mode detector, and a control register may be connected to the latching circuit for storing a mode configuration indicator.

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The mode configuration circuit preferably configures the IC to operate in one of the ISO and USB modes while disabling the other of the ISO and USB modes. As such, the reset, clock and
5 input/output pads are disabled when the IC is configured in the USB mode, and the D-plus and D-minus pads are disabled when the IC is configured in the ISO mode. Preferably, in the first embodiment, the mode configuration circuit configures the IC to
10 operate in the ISO mode as a default if the USB mode detector does not detect the USB mode. However, in a second embodiment, an ISO detector is provided for detecting an ISO-mode condition. Here, the mode configuration circuit configures the IC in the mode
15 detected by the USB detector or the ISO detector.

The USB mode detector may detect if a low speed USB device is connected to the D-plus and D-minus pads, and the USB voltage detector may detect whether a power supply on the voltage supply pad is greater
20 than about 3.5 volts or preferably between about 4.01 and 5.5 volts. The ISO-mode detector may detect the rising of a signal on the reset pad as the ISO-mode condition. Of course, to ensure that the IS-mode is detected, the IS-protocol sequence may be detected
25 via the reset, clock and I/O pads.

A method aspect of the present invention is directed to a method of operating a dual-mode IC in an ISO-mode in accordance with the ISO protocol, and a USB-mode in accordance with the USB protocol. The
30 dual-mode IC includes an external interface having a voltage supply pad, a first set of pads in accordance with the ISO protocol, and a second set of pads in accordance with the USB protocol. The method includes detecting whether a USB-mode condition
exists on at least one pad of the second set of pads
35

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during a power-on-reset of the dual-mode IC. The method may also include verifying the USB-mode, when the USB-mode condition is detected, by detecting a USB-mode voltage on the voltage supply pad.

5 The dual-mode IC is configured in the USB mode and the first set of pads is disabled upon verification of the USB mode voltage. Also, according to the first embodiment, the method includes configuring the dual-mode IC in the ISO mode
10 and disabling the second set of pads when the USB-mode condition is not detected. However, in accordance with the second embodiment, the method includes detecting whether an ISO-mode condition exists on one pad of the first set of pads. Here,
15 the method includes configuring the dual-mode IC in the ISO mode and disabling the second set of pads when the ISO-mode condition is detected.

20 The first set of pads comprises a reset pad, a clock pad and an input/output pad in accordance with the ISO 7816 protocol. Also, the second set of pads comprises a D-plus pad and a D-minus pad in accordance with the USB protocol. Detecting whether the USB-mode condition exists may comprise detecting whether the second set of pads are connected to a USB
25 device. Again, verifying the second mode may comprise detecting whether a power supply on the voltage supply pad is greater than about 3.5 volts, or preferably between about 4.1 and 5.5 volts.
30 Furthermore, the method includes storing a mode configuration indicator for indicating whether the dual-mode IC is configured in the ISO or USB mode.

35 In the first embodiment, the IC is configured in the ISO mode by default if the USB mode is not detected on the second set of pads, such as the D-plus and D-minus pads. If a USB device is detected

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via the D-plus and D-minus pads during the power-on reset sequence, then the IC USB voltage is verified on the voltage supply pad before the IC is configured in the USB mode. Once the IC is configured in one mode, it can only operate in that mode and it cannot be changed except via another power-on reset sequence.

In the second embodiment, the IC is configured in the ISO mode if an ISO condition is detected on one of the first pads, such as the reset pad.

Similar to the first embodiment, if a USB device is detected via the D-plus and D-minus pads during the power-on reset sequence, then the USB voltage is verified on the voltage supply pad before the IC is configured in the USB mode. However, unlike the first embodiment, the default is a neutral mode where the IC is not configured in either the ISO or USB mode. Once the IC is configured in one mode, it can only operate in that mode and it cannot be changed except via another power-on reset sequence.

Brief Description of the Drawings

FIG. 1 is a schematic diagram of a smart card according to the present invention.

FIG. 2 is a schematic diagram of a personal computer having a smart card reader in accordance with the present invention.

FIG. 3 is a schematic diagram of a dual-mode IC according to a first embodiment of the present invention.

FIG. 4 is a flowchart generally illustrating the steps of a method of operating the dual mode IC of the first embodiment.

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FIG. 5 is a schematic diagram of a dual-mode IC according to a second embodiment of the present invention.

5 FIG. 6 is a flowchart generally illustrating the steps of a method of operating the dual mode IC of the second embodiment.

Detailed Description of the Preferred Embodiments

10 The present invention will now be described more fully hereinafter with reference to the accompanying drawings, in which preferred embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth
15 herein. Rather, these embodiments are provided so that this disclosure will be thorough and complete, and will fully convey the scope of the invention to those skilled in the art. Like numbers refer to like elements throughout.

20 Referring to FIG. 1, a smart card 10 in accordance with the present invention will now be described. The smart card 10 is made of plastic, for example, and has a plurality of electrical contacts or pads 12 on an outer surface thereof, as would be
25 appreciated by those skilled in the art. As shown, the card 10 includes eight pads 12, for example. The pads 12 are the external interface for the integrated circuit (IC) 11 which is embedded within the card 10 and typically beneath the pads. The size of the card
30 10 and the position of the pads 12 are determined by appropriate standards such as the ISO 7816 protocol discussed above. Of course the IC 11 can also be embedded in other media such as the Subscriber

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Identity Module (SIM) for mobile phones, a token or other wireless USB device.

A personal computer (PC) 20, as shown in FIG. 2, typically includes a central processing unit (CPU) 5 and various input/output devices such as a monitor, keyboard and a mouse. Additionally, the PC 20 includes a smart card reader 22 which may, for example, be used to control access to the PC. As shown, the smart card reader 22 is a separate 10 peripheral device; however, the smart card reader could also be incorporated into the CPU housing or the keyboard, for example.

The smart card reader 22 may conform to the ISO 7816 protocol or a non-ISO protocol such as the 15 Universal Serial Bus (USB) protocol as discussed above. The ISO 7816 protocol is the conventional standard for use in smart cards and includes a voltage supply pad **VCC**, a ground pad **GND**, an input/output pad **I/O**, a reset pad **RST** and a clock pad 20 **CLK**. The ISO protocol is characterized by an Answer-to-Reset (ATR) sequence when a power-on-reset or hardware reset is applied to the IC 11.

The USB protocol is currently being used to replace the different PC 20 interfaces for peripheral 25 devices such as the mouse, keyboard, serial I/O port etc. The USB protocol can be hot plug and play which means that a USB protocol device can be connected or disconnected from the PC 20 without necessitating a reboot. The USB cable includes a voltage supply wire 30 **VBUS**, a ground wire **GND**, a D-plus wire **DP** and a D-minus wire **DM**. The signal on **DP** and **DM** is a data stream in NRZI coding and includes the clock. The USB 1.1 specification requires the power supply of a USB device to be between 4.01V and 5.5V.

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As set forth previously, it is desirable to provide a smart card system that can operate in the ISO 7816 protocol and another non-ISO protocol, such as the USB protocol, depending on the type of reader the card is communicating with. Thus, referring to FIG. 3, a first embodiment of the present invention will be described. A dual-mode IC 11 is capable of operating in first mode such as an ISO mode in accordance with International Standards Organization 7816 (ISO 7816) protocol, and a second non-ISO mode such as a USB mode in accordance with Universal Serial Bus (USB) protocol. The dual-mode IC 11 operates selectively in one mode or the other, but not both simultaneously.

The dual-mode IC 11 is preferably in the smart card 10 and includes a microprocessor 14, a switching block 16, and the external interface 12. The external interface 12 comprises a voltage supply pad **VCC**, a reference voltage/ground pad **GND**, a first set of pads for the ISO mode, and a second set of pads for the non-ISO mode. The first set of pads preferably include a reset pad **RST**, a clock pad **CLK** and an input/output **I/O** pad in accordance with the ISO 7816 protocol. The second set of pads preferably includes a D-plus pad **DP** and a D-minus pad **DM** in accordance with the USB protocol.

Because the IC 11 can only operate in one of the two modes which have different external interfaces, the IC will need to determine in which mode to operate. Thus, the IC 11 includes a mode configuration circuit 18 comprising a USB mode detector 34 connected to at least the D-minus pad. The USB mode detector may also be connected to the D-plus **DP** pad as shown in FIG. 3. The mode

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configuration circuit 18 may also include a USB voltage detector 30 connected to the voltage supply pad **VCC** for detecting a USB mode voltage supply, a latching circuit 40 connected to the switching block 5 and receiving outputs from the USB mode detector 34 and the USB voltage detector 30, and a control register 42 connected to the latching circuit 40 for storing a mode configuration indicator.

A delay block 36 may be connected between the 10 USB mode detector 34 and the latching circuit 40, while a power-on-reset circuit may be connected between the voltage supply pad **VCC** and the buffer. The delay block 36 delays the detection by the USB mode detector 34 until a predetermined time, e.g. 1- 15 10ms, after first contact to avoid a false detection due to rebound. Also, a logic gate/circuit 38 may be connected between the latching circuit 40 and the detectors 30, 34. Furthermore, the USB 1.1 protocol requires a 1.5KOhm resistor 44 connected between the 20 D-minus pad **DM** and about 3.6V or VTERM from a voltage regulator to aid in the identification of a low-speed USB device, as would be appreciated by the skilled artisan.

During the start-up or power-on sequence of the 25 IC 11, the mode configuration circuit 18 configures the IC 11 to operate in one of the ISO and USB modes while disabling the other mode. For example, the reset pad **RST**, clock pad **CLK** and input/output **I/O** pad are disabled when the IC 11 is configured in the USB 30 mode, and the D-plus pad **DP** and D-minus **DM** pad are disabled when the IC 11 is configured in the ISO mode. To prevent any glitches, the detected mode is latched by the latching circuit 40 while the

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appropriate bit is set in the control register **42**.
Because the mode detection is a hardware detection,
the operating system of the IC **11** will then check
this bit during the reset routine to access the
5 appropriate code for the latched mode.

Accordingly, if the smart card **10** including the
dual mode IC **11** is used with a typical smart card
reader, it will operate as specified in the ISO 7816
protocol while the USB interface, i.e. the D-plus **DP**
10 and D-minus **DM** pads, is disabled to consume less
power. However, because the IC **11** includes a USB
interface, ISO 7816-like transactions can be
performed using a low-cost USB device rather than an
ISO-compliant smart card reader. Here, the ISO mode
15 interface, i.e. the reset **RST**, clock **CLK** and
input/output **I/O** pads, is disabled. Once the IC **11**
is configured in one of the modes, it must stay in
that mode until another power-on-reset.

For security and integrity of the dual-mode IC
20 **11**, the mode should be detected as soon as possible
because once the IC is configured in a mode, it will
not be changed until another power-on-reset is
executed. In this embodiment, the IC **11** is
configurable in the ISO mode by default. In other
25 words, if the USB mode is not detected, then the mode
configuration circuit **18** configures the IC **11** in the
ISO mode and disables the USB mode and interface. To
operate in the USB mode, the presence of a USB bus
must be detected before an ATR of ISO 7816 occurs.
30 In the USB protocol, a low-speed USB device is
detected with a pull-up resistor, such as resistor
44, on **DM**. A downstream transceiver of a USB hub has
a pull-down resistor connectable to the D-plus **DP** and
D-minus pads **DM**. So, when the IC **11** is connected to

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a USB hub, a current would be sensed by the USB device and the IC 11 would be recognized as being low-speed on **DM** and/or high-speed on **DP**.

When the IC 11 is powered by the USB device when it is attached, the power-on-reset signal will reset the IC 11 when it reaches 2.3V. This signal stays at "1" until a DC/DC voltage regulator of the IC 11 is stable, e.g. for about 300 μ s. When the power-on-reset signal falls to "0", it means the IC 11 supply is greater than 2.3V and the DC/DC is stable. The USB mode detector should be powered by the same voltage regulator as the IC 11 to be active as soon as possible. At power-on, the pull up resistor 44 on D-minus **DM** is not active until the voltage regulator (VTERM) is turned on by the IC 11. The microprocessor 14 reads the control register 42 for the indication of the mode in which to operate. For the USB mode, the microprocessor 14 starts the voltage regulator of the USB protocol. In this condition, the two pull down resistors of the USB hub which are now connected to the D-plus **DP** and D-minus **DM** pads, create a "0" state on the bus of the USB device. The trailing edge of the power-on-reset signal can create a pulse of 1ms via delay block 36 during which the mode configuration circuit will detect the USB mode. However, this time can be increased, e.g. to 10ms, if it is necessary to ensure that it works accurately during a slow insertion of the medium, e.g. the smart card 10, in the USB reader.

Thus, preferably, the USB mode detector 34 detects the "0" state on the D-plus and D-minus pads when the power-on-reset signal falls to "0". If so, then the USB voltage detector 30 detects whether a power supply on the voltage supply pad VCC is greater

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than about 3.5 volts or preferably between about 4.01 and 5.5 volts to verify that the medium with the dual-mode IC 11 is communicating with a USB device.

So, in sum, for IS mode, the mode configuration circuit 18 sets the switching block 16 in IS mode which starts the IC 11 in the IS mode. The USB pads DP, DM and the mode configuration circuit 18 may then be disabled. For the USB mode, the mode configuration circuit 18 sets the switching block 16 in USB mode which starts the IC 11 in the USB mode. The microprocessor 14 verifies that there is a USB cable attached and then enables the voltage regulator providing VTERM to the DM pad via resistor 44. The mode configuration circuit 18 and the IS pads may then be disabled.

A system using such an IC 11 in accordance with the present invention includes a ISO-compliant reader and a USB-compliant reader. The ISO-protocol reader may be a conventional smart card reader that would provide the necessary signals at the appropriate pads of the external interface 12 of the IC 11 as would be appreciated by the skilled artisan. Thus, when a smart card 10 including the dual mode IC 11 is inserted into a conventional smart card reader, the mode configuration circuit 18 configures the IC 11 in the ISO mode because the USB-mode condition is not detected on the D-plus and D-minus pads. However, a USB-compliant reader would create the USB-mode condition on the D-plus and D-minus pads. So, when the smart card 10, or other medium, is connected to such a USB-compliant reader, the mode configuration circuit 18 configures the IC 11 in the USB mode because the USB-mode condition is detected.

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A method aspect of the first embodiment of the present invention will now be discussed while referring to FIG. 4. The method includes operating a dual-protocol smart card 10 in a first mode in accordance with an ISO protocol, and a second mode in accordance with a non-ISO protocol, such as the USB protocol. As discussed above, the dual-protocol smart card 10 includes an external interface 12 having a voltage supply pad **VCC**, a reference voltage/ground pad **GND**, a first set of pads **CLK**, **RST**, **I/O** in accordance with the ISO protocol, and a second set of pads, e.g. **DP**, **DM**, in accordance with the non-ISO protocol such as USB.

The method begins (block 80) and a power-on-reset sequence of the IC 11 is started at block 82. Then, at block 84, the method includes detecting whether a USB-mode condition exists on at least one of the DM and DP pads during the power-on-reset of the dual-mode IC. For security and integrity of the IC 11, the mode should be detected as soon as possible because once the IC is configured in a mode, it will not be changed until another power-on-reset is executed. In this embodiment, the IC 11 is configurable in the ISO mode by default. In other words, if the USB mode is not detected at block 84, then the IC 11 is configured in the ISO mode and the USB mode and interface are disabled (block 90) by connecting the **DP** and **DM** pads to **GND**, for example. Alternatively, if the USB-mode condition is detected at block 84, then the IC 11 is configured in the USB mode, and the first set of pads, i.e. **CLK**, **RST**, **I/O**, in accordance with the ISO protocol is disabled (block 88) by connecting them to **GND**, for example.

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The method may include verifying the USB mode, when the USB-mode condition is detected at block 84, by detecting a USB-mode voltage on the voltage supply pad VCC (block 86). The method may include storing a mode configuration indicator for indicating whether the dual-mode IC is configured in the ISO or USB mode (block 92) before ending at block 94.

For example, the IC 11 is configured in the ISO mode if a USB-mode condition detected is not detected on the at least the DM pad. Preferably, if a USB device is detected via the DM or DP pads during the power-on reset sequence, then a USB voltage is verified on the voltage supply pad VCC before the IC 11 is configured in the USB mode. Once the IC 11 is configured in one mode, it can only operate in that mode and it cannot be changed except via another power-on-reset sequence. To prevent any glitches, the detected mode is preferably latched and a mode configuration indicator/bit is set. The operating system of the IC 11 will then check this bit during the reset routine to access the appropriate code for the latched mode.

A second embodiment of the present invention will now be described while referring to FIGs. 5 and 6. The second embodiment of the dual-mode IC 51 is substantially similar to the first embodiment dual-mode IC 11 except here the mode configuration circuit 58 includes an ISO-mode detector 48 connected between the latching circuit 40 and the reset pad RST of the external interface 12. Specifically, instead of the mode configuration circuit 58 configuring the IC 51 in an ISO mode by default when a USB-mode condition is not detected, a detection for an ISO-mode

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condition will be performed before the mode configuration circuit **58** will configure the IC **51**.

The advantage of this embodiment is the avoidance of a erroneous detection of the non-selected mode during the time the IC **51** is being configured and the mode configuration indicator/bit is being read by the microprocessor **14**. The ISO-mode condition that is detected is preferably the rising of the signal on the reset pad RST from a low to a high level. Again, preferably, if the USB mode detector **34** detects the "0" state on the D-plus and D-minus pads when the power-on-reset signal falls to "0", then the USB voltage detector **30** detects whether a power supply on the voltage supply pad **VCC** is greater than about 3.5 volts or preferably between about 4.01 and 5.5 volts to verify that the medium with the dual-mode IC **51** is communicating with a USB device.

A method aspect of the second embodiment of the present invention will now be discussed while referring to FIG. 6. The method includes operating a dual-protocol smart card **10** in a first mode in accordance with an ISO protocol, and a second mode in accordance with a non-ISO protocol, such as the USB protocol. As discussed above, the dual-protocol smart card **10** includes an external interface **12** having a voltage supply pad **VCC**, a reference voltage/ground pad **GND**, a first set of pads **CLK**, **RST**, **I/O** in accordance with the ISO protocol, and a second set of pads, e.g. **DP**, **DM**, in accordance with the non-ISO protocol such as USB.

The method begins (block **100**) and a power-on-reset sequence of the IC **51** is started at block **102**. Then, at block **104**, the method includes detecting

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whether a USB-mode condition exists on at least one of the **DM** and **DP** pads or whether an ISO-mode condition exists on one of the first set of pads, such as the reset pad **RST**, during the power-on-reset of the dual-mode IC **51**. Again, for security and integrity of the IC **51**, the mode should be detected as soon as possible because once the IC is configured in a mode, it will not be changed until another power-on-reset is executed. In this embodiment, the IC **51** is configurable in the ISO mode if an ISO-mode condition is detected. If so, then the IC **51** is configured in the ISO mode and the USB mode and interface are disabled (block **110**) by connecting the **DP** and **DM** pads to **GND**, for example. Alternatively, if the USB-mode condition is detected at block **104**, then the IC **51** is configured in the USB mode, and the first set of pads, i.e. **CLK**, **RST**, **I/O**, in accordance with the ISO protocol is disabled (block **108**) by connecting them to **GND**, for example.

As in the first embodiment, the method may include verifying the USB mode, when the USB-mode condition is detected at block **104**, by detecting a USB-mode voltage on the voltage supply pad **VCC** (block **106**). Also, the method may include storing a mode configuration indicator for indicating to the microprocessor whether the dual-mode IC is configured in the ISO or USB mode (block **112**) before ending at block **114**.

For example, the IC **51** is configured in the ISO mode if a ISO-mode condition is detected on the reset pad **RST**. Preferably, if a USB device is detected via the **DM** or **DP** pads during the power-on reset sequence, then a USB voltage is verified on the voltage supply

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pad **VCC** before the IC **51** is configured in the USB mode. As discussed previously, once the IC **51** is configured in one mode, it can only operate in that mode and it cannot be changed except via another
5 power-on-reset sequence. To prevent any glitches, e.g. a mode change, the detected mode is preferably latched and a mode configuration indicator/bit is set. The operating system of the IC **51** will then check this bit during the reset routine to access the
10 appropriate code for the latched mode.

Thus, first and second embodiments of a dual-mode IC **11**, smart card **10**, system and associated method of operation in the ISO 7816 protocol and the USB protocol have been described in accordance with
15 the present invention. A medium, such as the smart card **10**, incorporating the dual-mode IC **11**, **51** can be used in a typical ISO compliant smart card reader or in a lower-cost USB device, such as a personal computer **20**.

20 Many modifications and other embodiments of the invention will come to the mind of one skilled in the art having the benefit of the teachings presented in the foregoing descriptions and the associated drawings. Therefore, it is understood that the
25 invention is not to be limited to the specific embodiments disclosed, and that modifications and embodiments are intended to be included within the scope of the appended claims.

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THAT WHICH IS CLAIMED IS:

1. A dual-mode integrated circuit (IC) for operating in an ISO mode in accordance with International Standards Organization 7816 (ISO 7816) protocol, and a USB mode in accordance with a Universal Serial Bus protocol, the dual-mode IC comprising:
 - a microprocessor;
 - a switching block connected to the microprocessor;
 - an external interface connected to the switching block and comprising
 - a voltage supply pad,
 - a reference voltage pad,
 - a reset pad, a clock pad and an input/output pad in accordance with the ISO 7816 protocol, and
 - a D-plus pad and a D-minus pad in accordance with the USB protocol; and
 - a mode configuration circuit connected to the switching block for configuring the dual-mode IC in one of the ISO and USB modes based upon a signal on at least one of the D-plus and D-minus pads.
2. A dual-mode IC according to Claim 1 wherein the mode configuration circuit comprises:
 - a USB mode detector connected to at least the D-minus pad for detecting a USB condition; and
 - a latching circuit connected to the switching block and receiving an output from the USB mode detector.
3. A dual-mode IC according to Claim 2 wherein the mode configuration circuit configures the dual-

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mode IC in the USB mode if a USB condition is detected by the USB mode detector, and configures the
5 dual-mode IC in the ISO mode if a USB condition is not detected by the USB mode detector.

4. A dual-mode IC according to Claim 2 wherein the USB mode detector is also connected to the D-plus pad.

5. A dual-mode IC according to Claim 2 wherein the mode configuration circuit further comprises an ISO mode detector connected between the reset pad and the latching circuit for detecting an ISO condition.

6. A dual-mode IC according to Claim 5 wherein the mode configuration circuit configures the dual-mode IC in the USB mode if a USB condition is detected by the USB mode detector, and configures the
5 dual-mode IC in the ISO mode if an ISO condition is detected by the ISO mode detector.

7. A dual-mode IC according to Claim 2 further comprising a control register connected to the latching circuit for storing a mode configuration indicator.

8. A dual-mode IC according to Claim 2 further comprising a USB voltage detector connected between the voltage supply pad and the latching circuit to detect a USB voltage supply.

9. A dual-mode IC according to Claim 1 wherein the mode configuration circuit configures the dual-mode IC to operate in one of the ISO and USB modes while disabling the other of the ISO and USB modes.

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10. A dual-mode IC according to Claim 9 wherein the reset, clock and input/output pads are disabled when the dual-mode IC is configured in the non-ISO mode, and the D-plus and D-minus pads are disabled when the dual-mode IC is configured in the ISO mode.

11. A dual-mode smart card for operating in an ISO mode in accordance with International Standards Organization 7816 (ISO 7816) protocol, and a USB mode in accordance with a Universal Serial Bus protocol,

5 the dual-mode smart card comprising:

a card body; and

10 a dual-mode integrated circuit (IC) carried by the card body and comprising

an external interface including

10 a voltage supply pad,

a reference voltage pad,

15 a first set of pads including a reset pad, a clock pad and an input/output pad in accordance with the ISO 7816 protocol, and

a second set of pads including a D-

plus pad and a D-minus pad in accordance with the USB protocol, and

20 a mode configuration circuit for

configuring the dual-mode IC in one of the ISO

and USB modes and comprising

25 a USB mode detector connected to at least the D-minus pad for detecting a USB condition, and

a latching circuit connected to the

USB mode detector.

12. A dual-mode smart card according to Claim 11 wherein the mode configuration circuit configures

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the dual-mode IC in the USB mode if a USB condition
is detected by the USB mode detector, and configures
5 the dual-mode IC in the ISO mode if a USB condition
is not detected by the USB mode detector.

13. A dual-mode smart card according to Claim
11 wherein the USB mode detector is also connected to
the D-plus pad.

14. A dual-mode smart card according to Claim
11 wherein the dual mode IC further comprises an ISO
mode detector connected between the reset pad and the
latching circuit for detecting an ISO condition.

15. A dual-mode smart card according to Claim
14 wherein the mode configuration circuit configures
the dual-mode IC in the USB mode if a USB condition
is detected by the USB mode detector, and configures
the dual-mode IC in the ISO mode if an ISO condition
is detected by the ISO mode detector.

16. A dual-mode smart card according to Claim
11 wherein the dual-mode IC further comprises a
control register connected to the latching circuit
for storing a mode configuration indicator.

17. A dual-mode smart card according to Claim
11 wherein the mode configuration circuit further
comprises a USB voltage detector connected between
the voltage supply pad and the latching circuit to
detect a USB voltage supply.

18. A dual-mode smart card according to Claim
11 wherein the mode configuration circuit configures
the dual-mode IC to operate in one of the ISO and

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non-ISO modes while disabling the other of the ISO and non-ISO modes.

19. A dual-mode smart card according to Claim 18 wherein the reset, clock and input/output pads are disabled when the dual-mode IC is configured in the USB mode, and the D-plus and D-minus pads are
5 disabled when the dual-mode IC is configured in the ISO mode.

20. A dual-mode smart card system for operating in an ISO mode in accordance with International Standards Organization 7816 (ISO 7816) protocol, and a USB mode in accordance with a Universal Serial Bus
5 protocol, the dual-mode smart card system comprising:

a dual-mode smart card including
a dual-mode integrated circuit (IC)
comprising
an external interface including
10 a voltage supply pad,
a reference voltage pad,
a reset pad, a clock pad and an
input/output pad in accordance with
the ISO 7816 protocol, and
15 a D-plus pad and a D-minus pad in
accordance with the USB protocol, and
a mode configuration circuit for
configuring the dual-mode IC in one of the
ISO mode and the USB mode and comprising
20 a USB mode detector connected to
the D-minus pad, and
a latching circuit connected to
the USB mode detector;
at least one of an IS-compliant smart card
25 reader and a USB-compliant smart card reader for

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reading the dual-mode smart card, the IS-compliant smart card reader including an IS interface having a plurality of contacts for respectively mating with the voltage supply pad, the reference voltage pad,
30 the reset pad, the clock pad and the input/output pad in accordance with the ISO 7816 protocol, and the USB-compliant smart card reader including a USB interface having a plurality of contacts for respectively mating with the voltage supply pad, the reference voltage pad, the D-plus pad and the D-minus pad in accordance with the USB protocol.
35

21. A dual-mode smart card system according to Claim 20 wherein the mode configuration circuit configures the dual-mode IC in the USB mode if a USB condition is detected by the USB mode detector, and
5 configures the dual-mode IC in the ISO mode if a USB condition is not detected by the USB mode detector.

22. A dual-mode smart card system according to Claim 20 wherein the USB mode detector is also connected to the D-plus pad.

23. A dual-mode smart card system according to Claim 20 wherein the mode configuration circuit further comprises an ISO mode detector connected between the reset pad and the latching circuit for detecting an ISO condition.

24. A dual-mode smart card system according to Claim 23 wherein the mode configuration circuit configures the dual-mode IC in the USB mode if a USB condition is detected by the USB mode detector, and
5 configures the dual-mode IC in the ISO mode if an ISO condition is detected by the ISO mode detector.

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25. A dual-mode smart card system according to Claim 20 wherein the mode configuration circuit further comprises a control register connected to the latching circuit for storing a mode configuration indicator.

26. A dual-mode smart card system according to Claim 20 wherein the mode configuration circuit further comprises a USB voltage detector connected between the voltage supply pad and the latching circuit to detect a USB voltage supply.
5

27. A dual-mode smart card system according to Claim 20 wherein the mode configuration circuit configures the dual-mode IC to operate in one of the ISO and USB modes while disabling the other of the ISO and USB modes.

28. A dual-mode smart card system according to Claim 27 wherein the reset, clock and input/output pads are disabled when the dual-mode IC is configured in the USB mode, and the D-plus and D-minus pads are disabled when the dual-mode IC is configured in the ISO mode.
5

29. A method of operating a dual-mode integrated circuit (IC) in an ISO mode in accordance with International Standards Organization 7816 (ISO 7816) protocol, and a USB mode in accordance with a Universal Serial Bus protocol, the dual-mode IC including an external interface having a voltage supply pad, a first set of pads including a reset pad, a clock pad and an input/output pad in accordance with the ISO protocol, and a second set of
5

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10 pads including a D-plus pad and a D-minus pad in accordance with the USB protocol, the method comprising:

detecting whether a USB-mode condition exists on at least one pad of the second set of pads;

15 configuring the dual-mode IC in one of the ISO mode and the USB mode based upon the detection; and

disabling one of the first and second set of pads based upon the configuration.

20 30. A method according to Claim 29 wherein detecting whether the USB-mode condition exists on at least one pad of the second set of pads comprises detecting whether the USB-mode condition exists during a power-on-reset of the dual-mode IC.

31. A method according to Claim 29 wherein detecting whether the USB-mode condition exists on at least one pad of the second set of pads comprises detecting whether the USB-mode condition exists on the D-minus pad.

32. A method according to Claim 29 wherein detecting whether the USB-mode condition exists on at least one pad of the second set of pads comprises detecting whether the USB-mode condition exists on the D-plus pad and the D-minus pad.

33. A method according to Claim 29 wherein the dual-mode IC is configured in the USB mode when the USB-mode condition is detected, and configured in the ISO mode when the USB-mode condition is not detected.

34. A method according to Claim 29 further comprising detecting whether an ISO-mode condition

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exists on one pad of the first set of pads during a power-on-reset of the dual-mode IC; wherein the dual-
5 mode IC is configured in the USB mode upon the detection of the USB-mode condition, and configured in the ISO mode upon detection of the ISO-mode condition.

35. A method according to Claim 34 wherein detecting whether the ISO-mode condition exists on one pad of the first set of pads comprises detecting whether the ISO-mode condition exists on the reset pad.

36. A method according to Claim 29 further comprising verifying the USB mode, when the USB-mode condition is detected, by detecting a USB-mode voltage on the voltage supply pad.

37. A method according to Claim 29 further comprising storing a mode configuration indicator for indicating whether the dual-mode IC is configured in the ISO or USB mode.

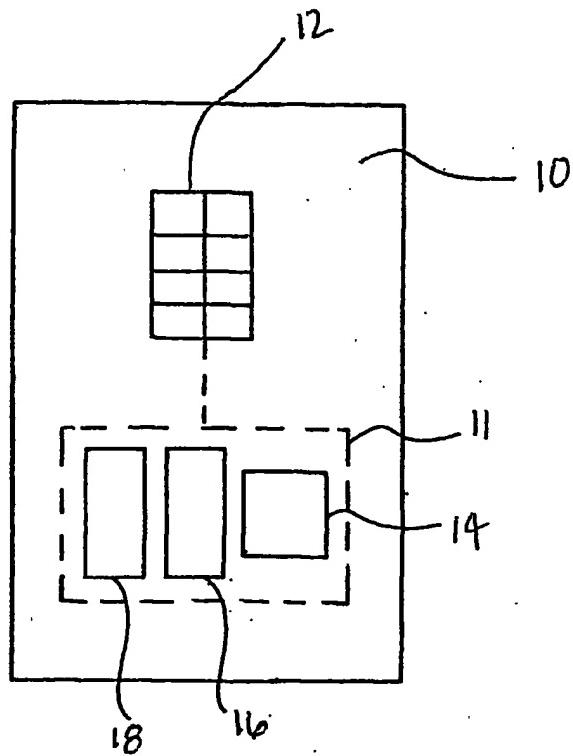


FIG. 1

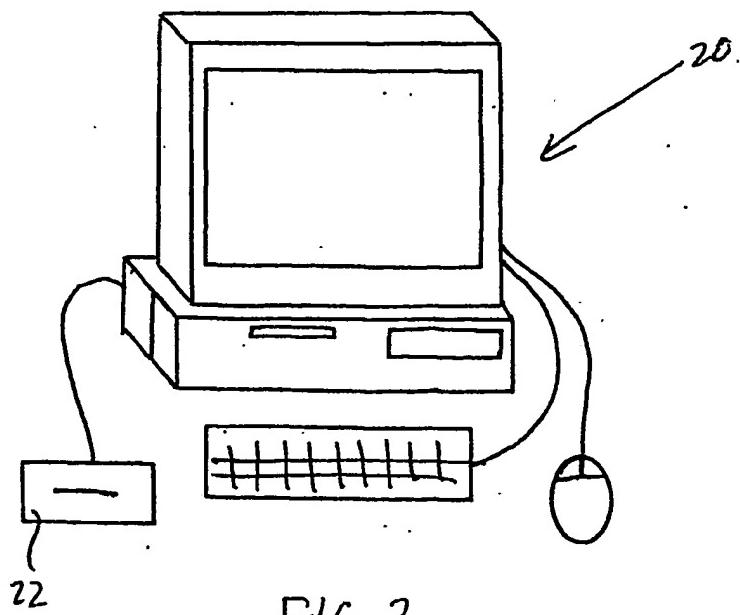
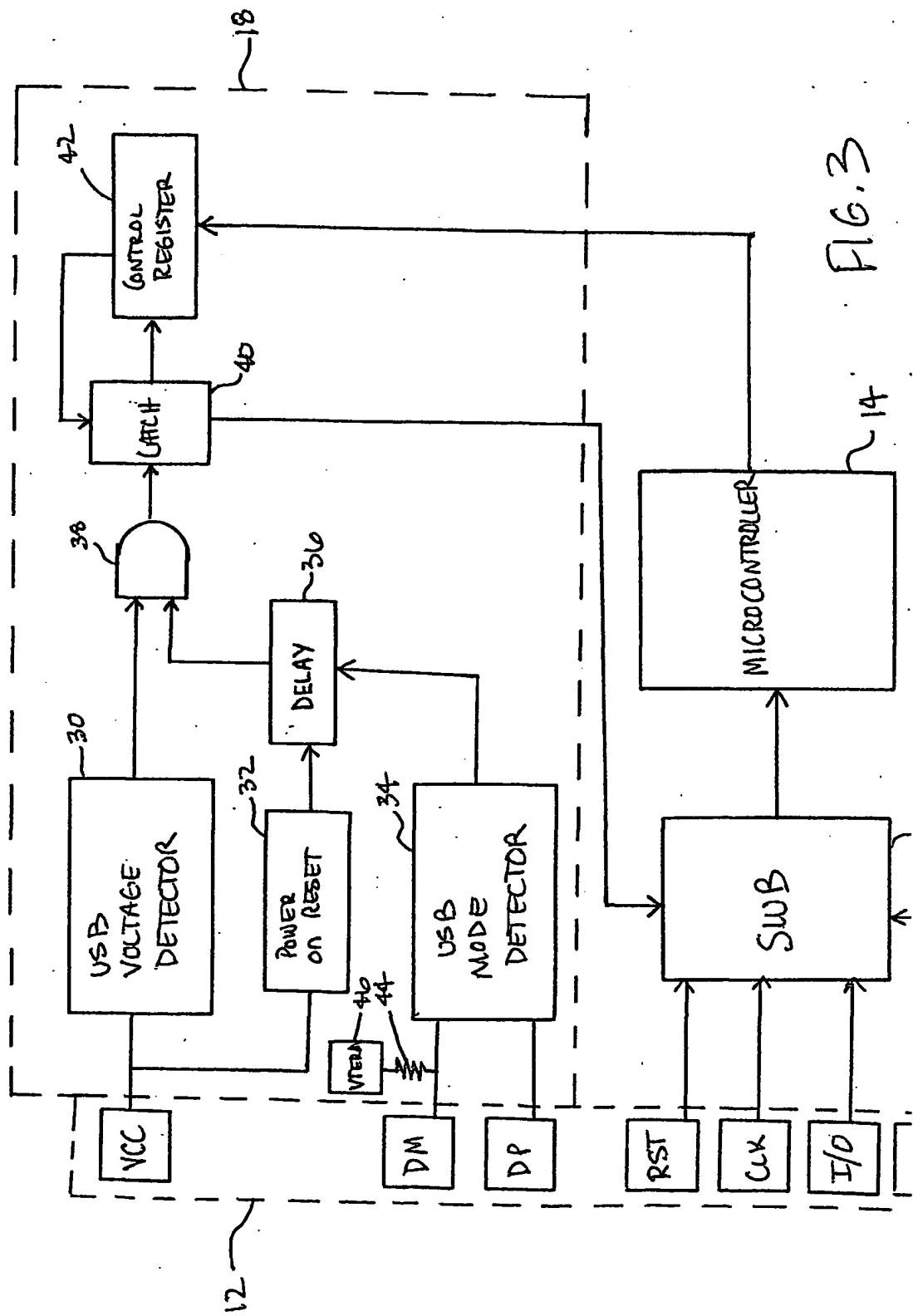


FIG. 2



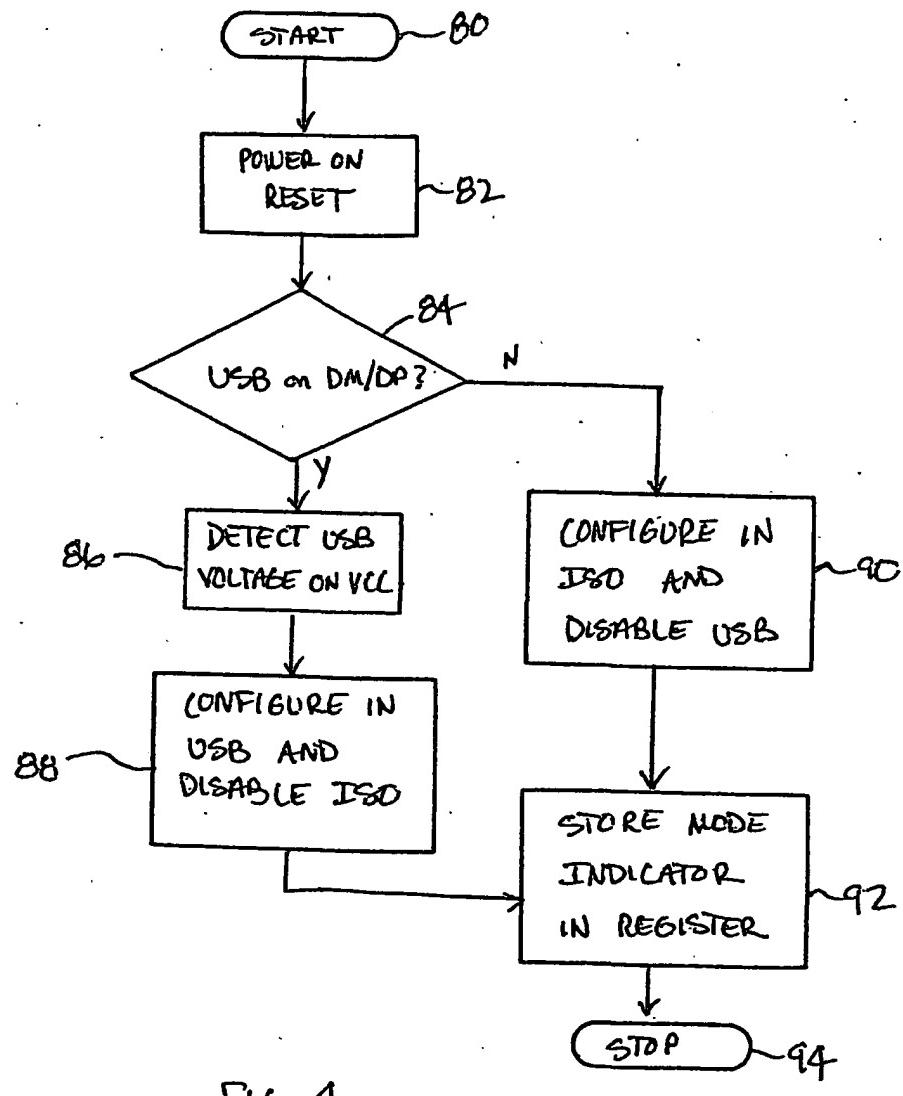
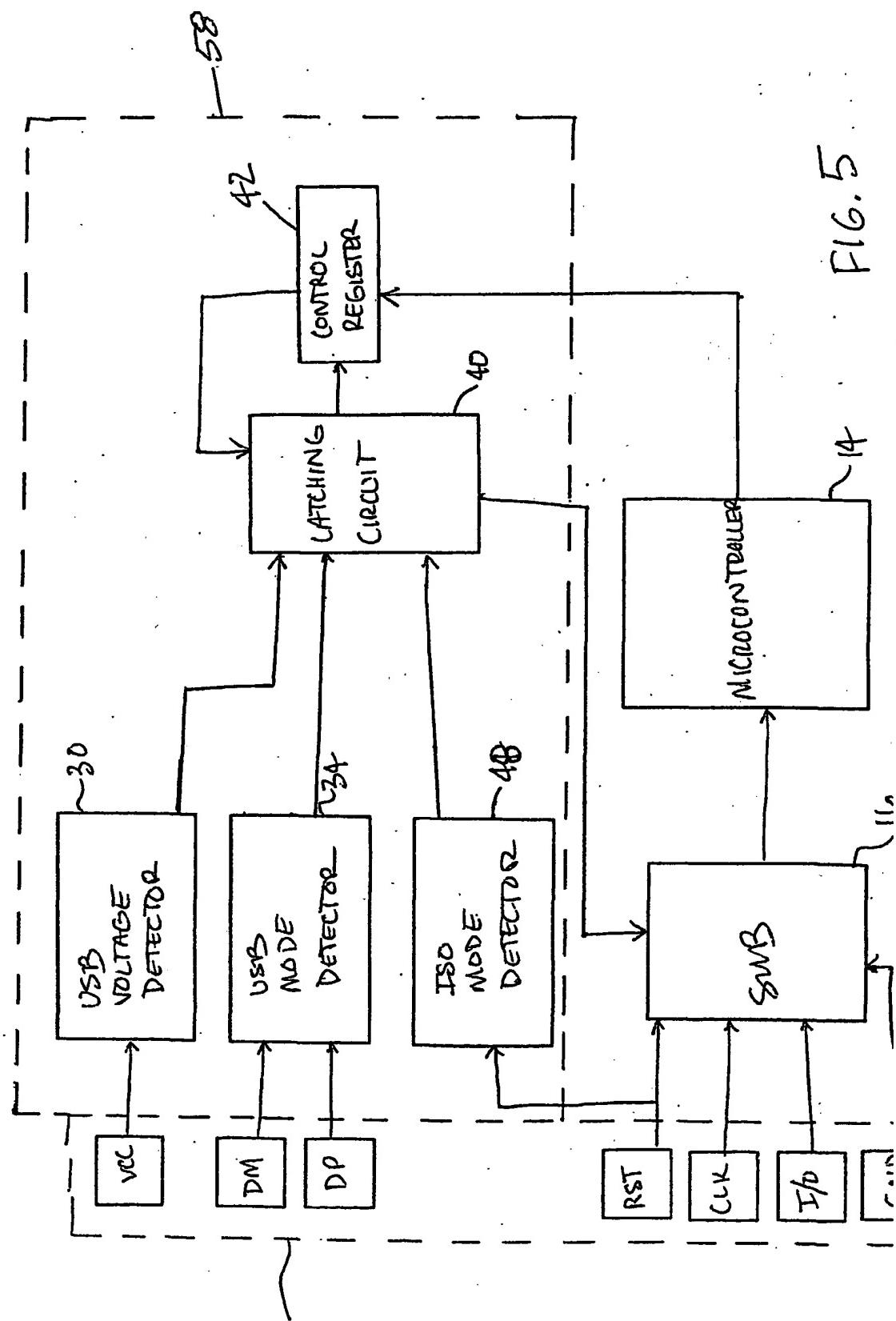


FIG. 4



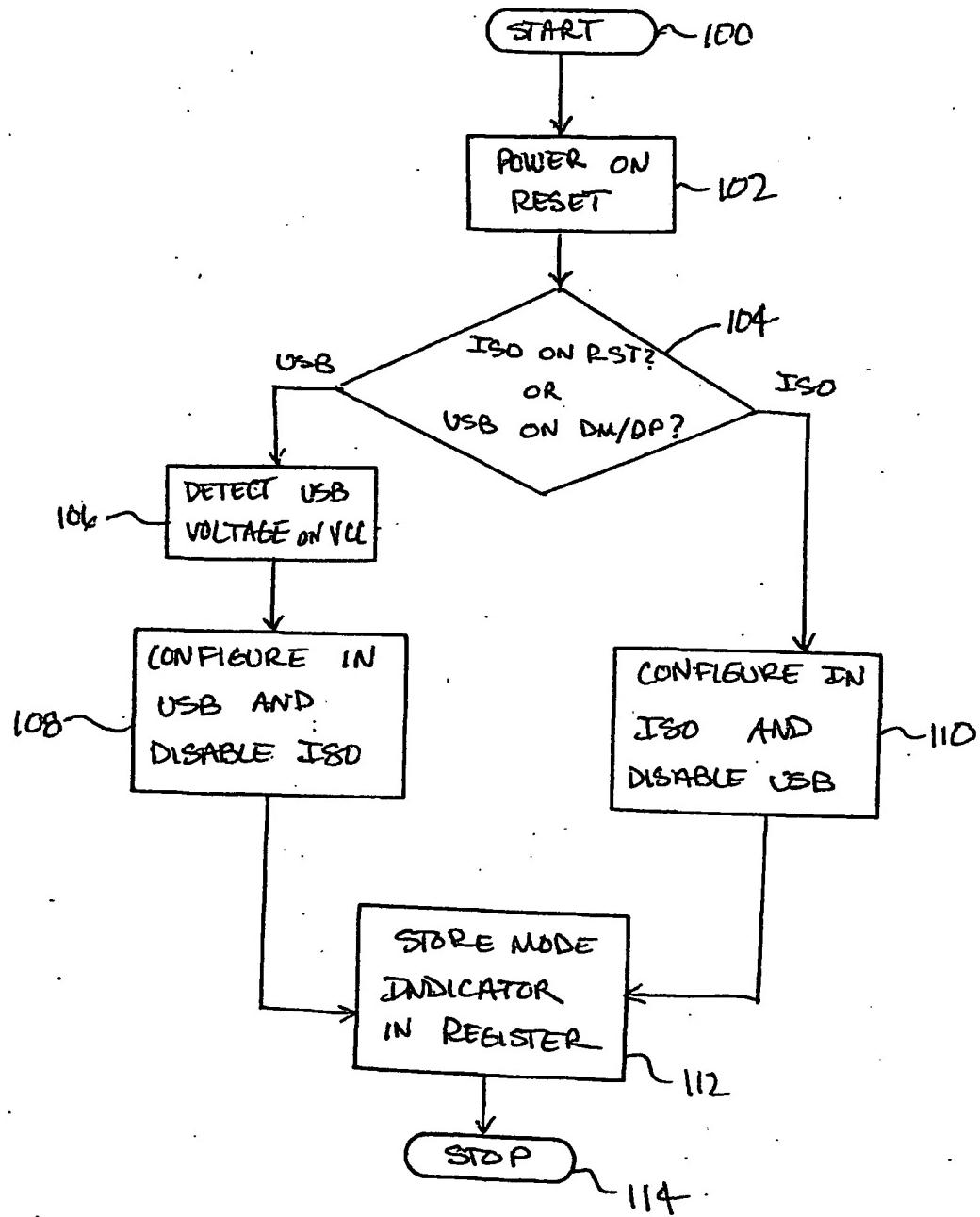


FIG. 6

INTERNATIONAL SEARCH REPORT

Inte
al Application No
PCT/US 01/27082

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 G06K19/07

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 G06K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, IBM-TDB, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	FR 2 783 336 A (SCHLUMBERGER IND SA) 17 March 2000 (2000-03-17) the whole document	1,11,20, 29
A	WO 99 49415 A (GEMPLUS CARD INT) 30 September 1999 (1999-09-30) cited in the application the whole document	1-37

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
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- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the International search 7 March 2002	Date of mailing of the International search report 15/03/2002
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax (+31-70) 340-3016	Authorized officer Degraeve, A

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/27082

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FR 2783336	A	17-03-2000	FR CN EP WO	2783336 A1 1317123 T 1110173 A1 0016255 A1	17-03-2000 10-10-2001 27-06-2001 23-03-2000
WO 9949415	A	30-09-1999	US US AU CN WO EP	6151647 A 6199128 B1 3599899 A 1326573 T 9949415 A2 1066592 A2	21-11-2000 06-03-2001 18-10-1999 12-12-2001 30-09-1999 10-01-2001